



67% UPDATE: HYDROPOWER COLLEGIATE COMPETITION

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PROJECT DESCRIPTION

- **Problem Statement:** Optimize the conversion of Kentucky River Lock & Dam #4 into a small-scale hydropower facility.



Figure 1: Final site selection aerial view

Key Specs

Estimated Head: 14 ft

Potential Energy: 1.56 MW

0.1 miles from transmission line

Existing flume

DAM REMOVAL RISK MITIGATION

- **Overall:** Not enough impact to overcome cost and lack of an interested part to help remove dam
- Heavy risk for communities that depend on dam for municipal water supply and recreation

Dam Removal Impact Mitigation Matrix													
Proposed Site: Kentucky River Lock & Dam #4													
RISK DESCRIPTION	Completeness			Effectiveness			Efficiency			Costs			RISK SCORE
Damages to River	Disruption in local water supply			Potential silt buildup could cause environmental catastrophe			Will removing the dams benefit local area?			Increased flooding could result in long-term costs			Max individual
	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Total Score
	9	10	90	5	9	45	4	9	36	8	10	80	251
Environmental Impacts	Could result in upstream migration			Endangered mussels will still be impacted by other dams			Chances of species rehabilitation are low overall			Short term cultural resources mitigation costs are anticipated			Max individual
	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Total Score
	3	8	24	2	7	14	2	6	12	3	8	24	74
Socioeconomic Impacts	Negative impacts to water supply and recreation			Potential violation of Property Act constraints			Preclude future development in the area			Modifying structures and boat ramps would be needed			Max individual
	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Total Score
	10	10	100	7	9	63	7	8	56	10	10	100	319
Public Safety	Any dam failure worries would be removed			Vertical conditions and drawing hazards would be removed			Not Applicable			Little to no O&M Costs			Max individual
	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Chance	Impact	Risk	Total Score
	3	5	15	4	6	24	0	0	0	1	2	2	41
Total Risk Score (out of 1500)												685	

COST MODELING

Current Overall Project Cost:
\$9,618,191

Other considerations:

- USDA Grants/Loans
- Tax Incentives
- Return on Investment based on annual generation revenue

Construction Materials and Equipment				
Civil Works and Structures				
Civil Subtotal	\$993,803	\$568	10.3%	100%
Equipment				
Turbines	\$1,740,190	\$994	18.1%	0%
Generators	\$902,902	\$516	9.4%	0%
Balance of Plant - Electrical	\$316,016	\$181	3.3%	50%
Balance of Plant - Mechanical	\$348,038	\$199	3.6%	50%
Main Power Transformers	\$63,883	\$37	0.7%	0%
Switchyard and Interconnection	\$87,500	\$50	0.9%	0%
Transmission Line	\$50,000	\$29	0.5%	0%
Equipment Subtotal	\$3,508,529	\$2,005	36.5%	
Installation/Labor				
Civil Works and Structures	\$546,592	\$312	5.7%	100%
Turbines	\$1,700,000	\$971	17.7%	100%
Generators	\$127,309	\$73	1.3%	100%
Balance of Plant Electrical	\$44,558	\$25	0.5%	100%
Balance of Plant Mechanical	\$49,073	\$28	0.5%	100%
Main Power Transformers	\$3,084	\$2	0.0%	100%
Switchyard and Interconnection	\$12,338	\$7	0.1%	100%
Transmission Lines	\$25,850	\$15	0.3%	100%
Installation/Labor Subtotal	\$2,508,804	\$1,434	26.1%	
Other Costs				
Mitigation	\$0	\$0	0.0%	75%
Licensing, Permitting and Interconnection Agreement	\$1,084,799	\$620	11.3%	75%
Engineering and Other Professional Services	\$1,258,563	\$719	13.1%	80%
Insurance	\$0	\$0	0.0%	100%
Other Development	\$0	\$0	0.0%	80%
Other Subtotal	\$2,343,361	\$1,339	24.4%	
Subtotal	\$9,407,679	\$5,376	97.8%	
Sales Tax (Materials & Equipment Purchases)	\$210,512	\$120	2.2%	100%
Total	\$9,618,191	\$5,496	100.0%	

COST MODELING

Annualized Operating and Maintenance Costs

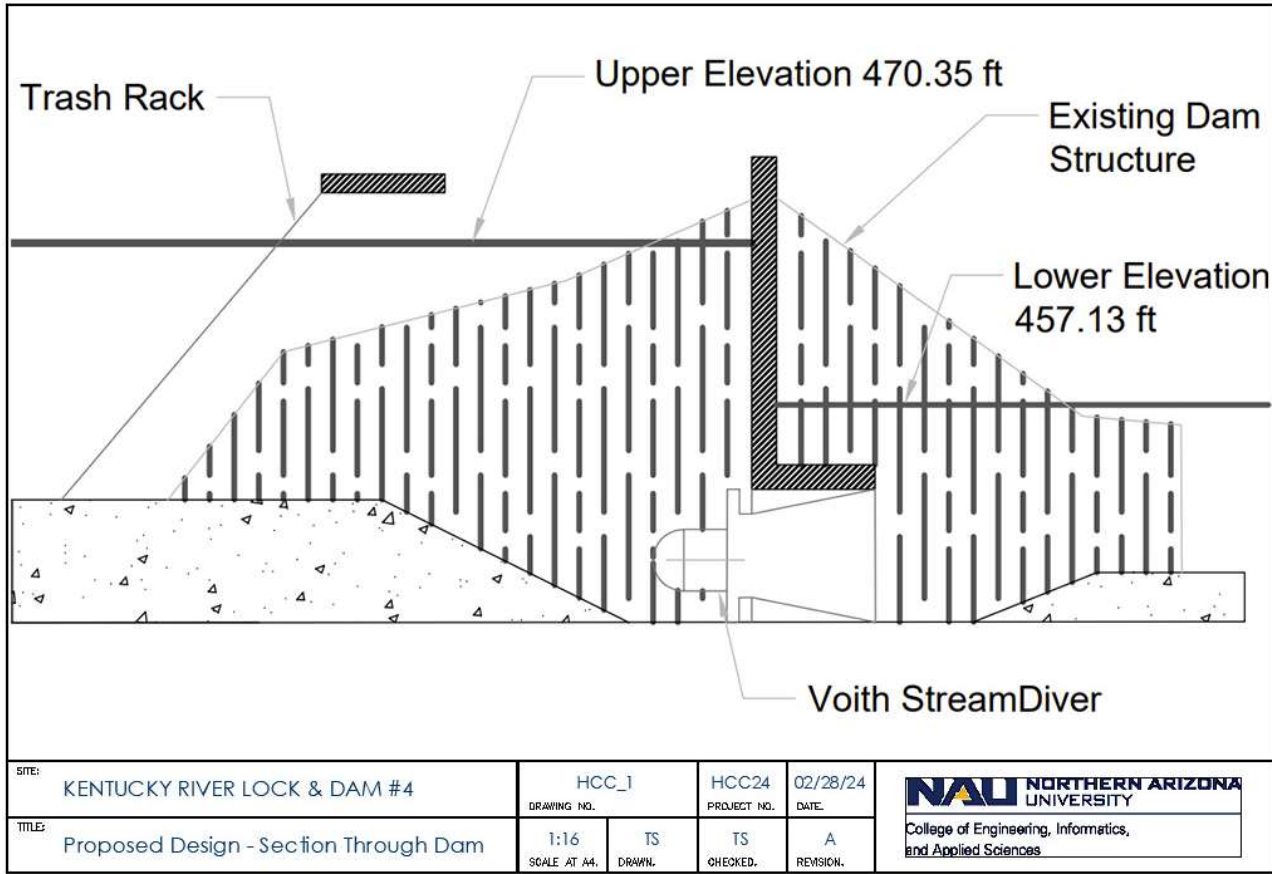
	Cost	Cost Per KW	Percent of Total Cost	Local Share (%)
Personnel and Labor	\$66,945	\$38	26.7%	100%
Materials and Services				
Supplies, Tools, Vehicles, etc.	\$35,609	\$20	14.2%	100%
Insurance	\$34,922	\$20	13.9%	100%
Taxes and Duties	\$0	\$0	0.0%	100%
Regulatory Compliance	\$35,928	\$21	14.3%	100%
Rents/Leases	\$0	\$0	0.0%	100%
Replacement Parts	\$71,218	\$41	28.4%	100%
Subtotal	\$177,676	\$102	70.8%	
Subtotal	\$244,621	\$140	97.4%	
Sales Tax (Materials & Equipment Purchases)	\$6,410	\$4	2.6%	100%
Total (without property taxes and debt financing)	\$251,031	\$143	100.0%	

ROUGH ORDER OF MAGNITUDE (ROM)

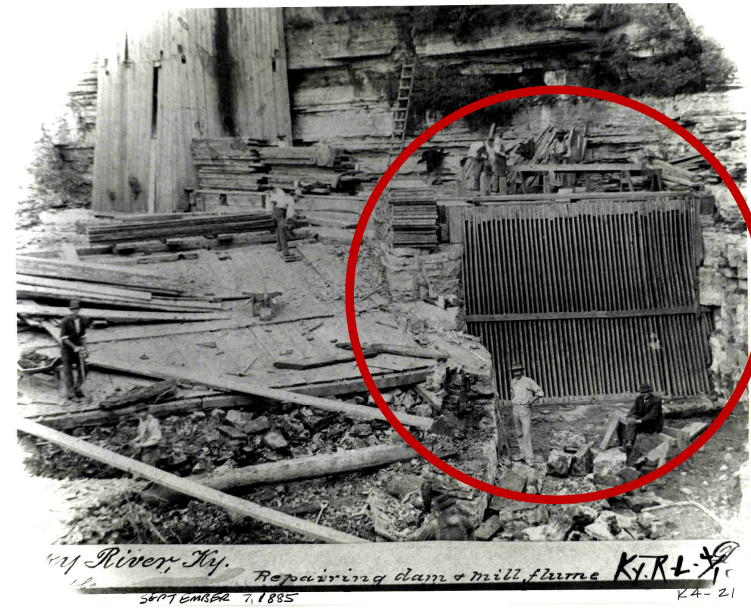
Map ID	Address	Size (acre)	Land Price	\$/acre	Land Use
80-00-00-011	Gregory Rd	15.12	\$28,000	\$1,852	VACANT
75-00-00-036	Hanley Ln	59.33	\$135,000	\$2,275	VACANT
76-00-00-036	Hanley Ln	59.33	\$135,000	\$2,275	VACANT
65-00-00-002	Hanks Ln	29	\$126,000	\$4,345	VACANT
62-31-26-019	Fourth St	0.164	\$13,400	\$81,707	LOT
48-00-00-008	Benson Valley Rd	28	\$80,000	\$2,857	VACANT
47-00-00-056.04	Bald Knob Rd	2.839	\$25,000	\$8,806	TELECOM SITE
44-00-00-029.01	Flat Creek Rd	13.56	\$25,000	\$1,844	VACANT
37-00-00-010.01	Schofield Ln	1.655	\$36,000	\$21,752	LOT
35-00-00-024.03	Hunters Trace	10.01	\$65,000	\$6,494	VACANT
47-00-00-117	Lewis Ferry Rd	118.5	\$450,000	\$3,797	FARM
48-00-00-028.13	Moss Ln	21.89	\$60,000	\$2,741	VACANT
61-20-04-009	Payne St	0.388	\$5,000	\$12,887	LOT
61-34-06-008	Cody Pass	0.253	\$9,000	\$35,573	LOT
61-43-05-027	Murrell St	0.184	\$1,000	\$5,435	LOT
64-00-00-002.02	Old Lawrenceburg	10.02	\$80,000	\$7,984	VACANT
73-00-00-024	US 127 N	49.655	\$390,000	\$7,854	FARM
76-00-00-020.02	Glenns Creek Rd	1.5	\$15,000	\$10,000	VACANT
65-00-00-021.02	Ninevah Rd	1.919	\$52,500	\$27,358	VACANT
Average/Acre			\$13,642		
74-43-02-003	Versailles Rd	4.259	\$155,820	\$36,586	COMMERCIAL
61-00-00-085	Wilkinson Blvd	1.99	\$500,000	\$251,256	COMMERCIAL



CURRENT PROPOSED DESIGN

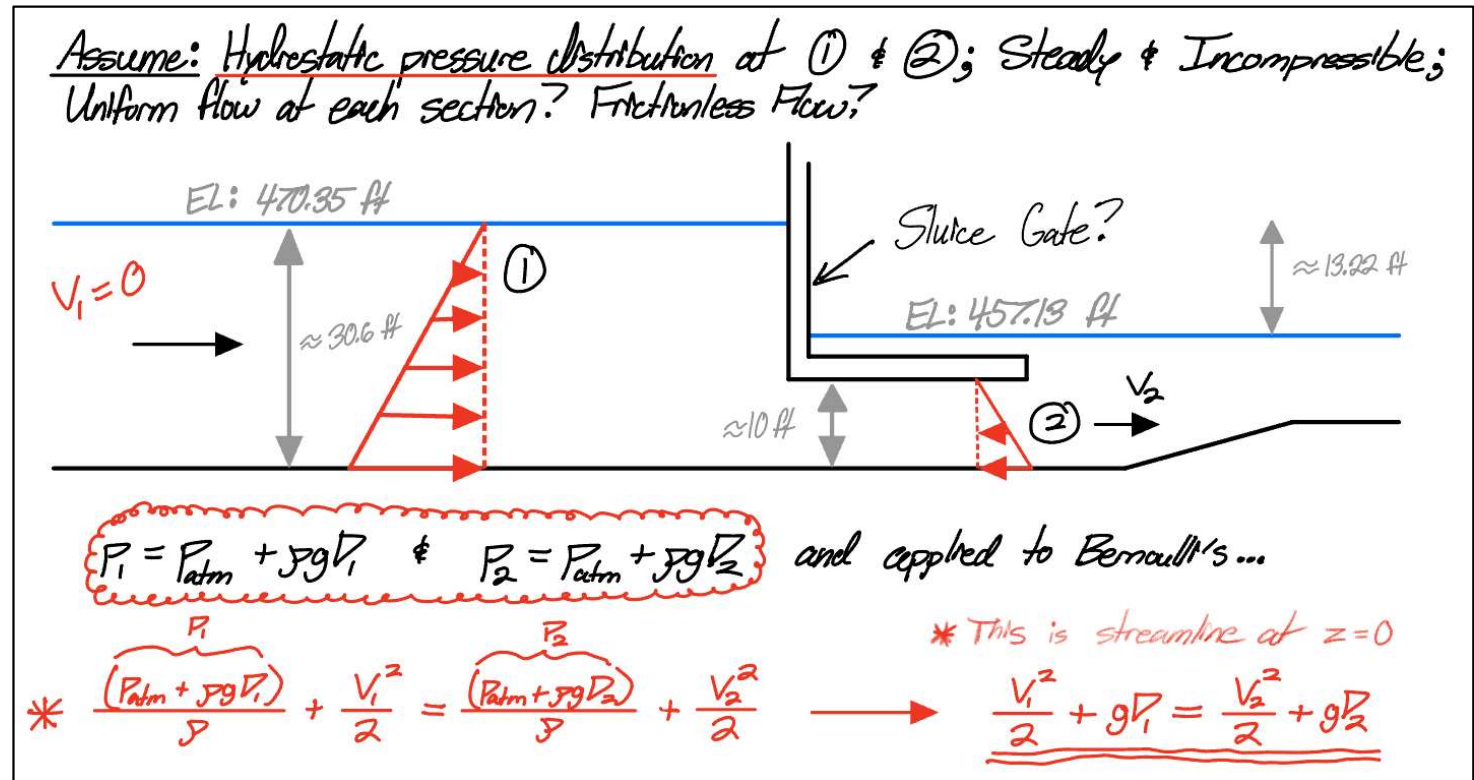


- Use/expand the existing flume to accommodate Voith StreamDiver turbines.



DAM FLOW ANALYSIS

- **Outlet Velocity:**
 - 36.43 ft/s
- **Outlet Flow Rate:**
 - 364 cfs/foot of width
- Values align with optimal sizing of StreamDiver units



DAM ENERGY ANALYSIS

The number of turbines in the outlet flume are to be determined through levelized cost of energy calculations.

From the 5-year average flow duration curves, MATLAB was used to calculate flow rate through the flume and potential energy generation.



Flow rate in ft^3/s per foot of width in flume = 3.642307

Cross section area at outlet [m^2] = 1.301

Power in MW = 1.56018

Power is in competitions range of 1-10 MW

ENVIRONMENTAL ANALYSIS

1. **Aquatic Habitat** – changes in water flow patterns, sediment transport, and habitat connectivity may affect the distribution and abundance of fish species, including those that are threatened or endangered.
2. **Water Quality** – influenced water quality parameters such as sedimentation rates, nutrient levels, and water temperature may affect the overall health of the river ecosystem and its ability to support aquatic life.
3. **Sediment Transport** – changes in sediment deposition patterns could impact habitat quality, riverbank stability, and channel morphology, with potential downstream consequences.
4. **Erosion and Stability** – increased erosion rates and sediment deposition affect the stability of riverbanks and the surrounding areas.
5. **Terrestrial Habitats** – changes in hydrology or sedimentation may affect habitats adjacent to the Kentucky River, including forests, wetlands, and agricultural lands, and the species they support.

ENVIRONMENTAL ANALYSIS

Endangered Mussel Rehabilitation

Involves habitat restoration, population monitoring, captive breeding, and reintroduction programs

Approaches and Strategies

1. **Habitat Restoration** - may involve initiatives such as removing barriers to migration, enhancing water quality, and restoring natural flow regimes.
2. **Propagation and Captive Breeding** - help increase population numbers and genetic diversity, reducing the risk of extinction.
3. **Translocation** - augment existing populations and increase genetic diversity.
4. **Community Engagement and Education** - garner support for recovery efforts.
5. **Regulatory Protections** - may include habitat conservation plans, pollution controls, and enforcement of habitat protection laws.

COMMUNITY CONNECTIONS PLAN

- STAR School visit with hydropower informational and hands on activity, end of March.
- Willow Bend Science Saturday, April 6th.
- Hydropower table at Arizona KidWind Challenge with Willow Bend, April 18th.



PROJECT SCHEDULE

1	Week 6	02/19/24	5d	02/23/24		88%	Complete	
2	Follow up on Website Check #1	02/19/24	5d	02/23/24	●	100%	Complete	
2	Follow up with KidWind	02/19/24	5d	02/23/24	●	100%	Complete	
2	Get updates on EE sub-team drafted power model (what's next?)	02/19/24	5d	02/23/24	●	100%	Complete	
2	Build out engineering flow diagrams/mock-ups specific to dam operation (transformer? meters? etc.?)	02/19/24	5d	02/23/24	●	50%	In Progress	
1	Week 7	02/26/24	10d	03/08/24		68%	Not Started	
2	Hardware Status Update - 67% Build	03/04/24	5d	03/08/24	●	100%	Complete	
2	Continue power and operational modeling	02/26/24	5d	03/01/24	●	40%	In Progress	
2	Build engineering diagrams and potential civil sketches in CAD	02/26/24	5d	03/01/24	●	50%	In Progress	
2	Gather environmental data specific for design	02/26/24	5d	03/01/24	●	80%	In Progress	
1	Week 8	03/04/24	5d	03/08/24		80%	Not Started	
2	Work in CENE 499 on cost modeling for annual g	03/04/24	5d	03/08/24	●	80%	In Progress	
2	Meet with Walter and Jonathan to discuss next st	03/04/24	5d	03/08/24	●	80%	Not Started	
2	Replace with Testing Plan Deliverable	03/04/24	5d	03/08/24	●	80%	Not Started	
1	SPRING BREAK	03/11/24	5d	03/15/24		80%	Not Started	
1	Week 9	03/18/24	5d	03/22/24		0%	Not Started	
2	Start drafting poster for competition	03/18/24	5d	03/22/24	●	0%	Not Started	
	Replace with Testing Plan Deliverable	03/18/24	5d	03/22/24	●	0%	Not Started	
2	Complete power modeling and operational modeling	03/18/24	5d	03/22/24	●	0%	Not Started	
2	Complete Cost Model on Project Costs	03/18/24	5d	03/22/24	●	0%	Not Started	
1	Week 10	03/25/24	5d	03/29/24		0%	Not Started	
2	Continue drafting poster for competition	03/25/24	5d	03/29/24	●	0%	Not Started	
	Replace with Tasting Plan Deliverable	03/25/24	5d	03/29/24	●	0%	Not Started	
2	Complete power modeling and operational modeling	03/25/24	5d	03/29/24	●	0%	Not Started	
2	Complete Cost Model - Annual Generatoin	03/25/24	5d	03/29/24	●	0%	Not Started	

THANK YOU!

NORTHERN ARIZONA  **UNIVERSITY.**

REFERENCES

[1] “StreamDiver | Voith,” *voith.com*. <https://voith.com/corp-en/hydropower-components/streamdiver.html> (accessed Feb. 29, 2024).

[2] R. W. Fox, *Fox And Mcdonald's Introduction To Fluid Mechanics*. S.L.: John Wiley, 2020.